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\* Admitted in NY only  
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October 8, 2002

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/825534  
For: APPARATUS AND METHOD FOR PREDICTION AND MANAGEMENT  
OF SUBJECT COMPLIANCE IN CLINICAL RESEARCH  
Inventors: Schiffman, Saul *et al.*  
Filed: April 2, 2001  
Our Ref. No.: IVQ-001

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (31);
4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, Washington, D.C. 20231 on:

October 8, 2002

Date

David J. Rikkers, Esq., Registration No. 43,882

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

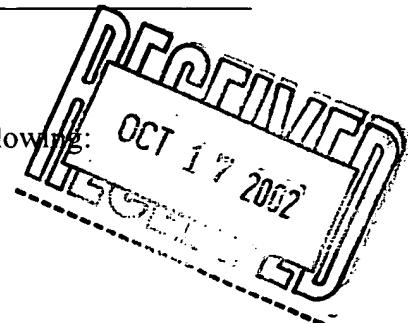
David J. Rikkers, Esq.  
Registration No. 43,882  
Attorney for Applicants

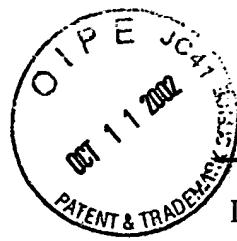
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Schiffman, Saul *et al.*

Serial No.: 09/825534

Filed: April 2, 2001

For: APPARATUS AND METHOD FOR  
PREDICITON AND MANAGEMENT OF SUBJECT  
COMPLIANCE IN CLINICAL RESEARCH

Attorney Docket No.: IVQ-001

Group Art Unit: 2176

Examiner: Not Yet Assigned

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Certificate of First Class Mailing (37 CFR §1.8(a))

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October 8, 2002  
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Date of Signature and of Mail Deposit

By:

  
\_\_\_\_\_  
David J. Rikkers, Esq.  
Reg. No. 43,882  
Attorney for Applicants

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following additional publication, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97(b)(4) hereby submit this publication for the Examiner's consideration. A copy of the cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Supplemental Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.97(c)(2) and §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

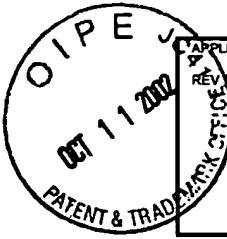


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Date: October 8, 2002

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Enclosures



APPLICANT FACSIMILE OF FORM PTO-1449 REV. 1-80		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO <b>IVQ-001</b>	SERIAL NO. <b>09/825534</b>
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT		FILING DATE <b>April 2, 2001</b>	
		<b>Shiffman, Saul et al.</b>		GROUP <b>2176</b>	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUPERCLASS	FILING DATE IF APPROPRIATE
	F1	5,583,831	12/96	Churchill et al.	368	10	
	F2	5,596,994	01/97	Bro	128	732	
	F3	5,704,366	01/98	Tacklind et al.	128	716	
	F4	5,710,551	01/98	Ridgeway	340	870.09	
	F5	5,832,448	11/98	Brown	705	2	
	F6	5,960,403	09/99	Brown	705	2	
	F7	5,963,136	10/99	O'Brien	340	573.1	
	F8	6,039,688	03/00	Douglas et al.	600	300	
	F9	6,075,755	06/00	Zarchan	368	10	
	F10	6,151,586	11/00	Brown	705	14	
	F11	6,167,362	12/00	Brown et al.	703	11	
	F12	2002/0019748 A1	02/02	Brown	705	2	
	F13	2002/0042726 A1	04/02	Mayaud	705	2	
	F14	6,381,577 B1	04/02	Brown	705	2	
	F15	2002/0064095 A1	05/02	Momich et al.	368	10	

## FOREIGN PATENT DOCUMENTS

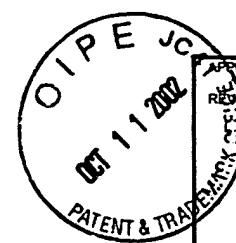
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
	F16	EP 1 034 734 A1	09/00	EPO.			
	F17	WO 96/13790 A1	05/96	WO.			
	F18	WO 98/38909 A1	09/98	WO.			
	F19	WO 99/27483 A1	06/99	WO.			
	F20	WO 99/38052 A1	07/99	WO.			
	F21	WO 00/15103 A1	03/00	WO.			
	F22	WO 00/75748 A2	12/00	WO.			
	F23	WO 00/69331 A1	11/00	WO.			
	F24	WO 01/06433 A1	01/01	WO.			
	F25	WO 01/09701 A1	02/01	WO.			
	F26	WO 01/26020 A1	04/01	WO.			
	F27	WO 01/26021 A1	04/01	WO.			
	F28	WO 01/34024 A1	05/01	WO.			
	F29	WO 01/74229 A2	10/01	WO.			
	F30	WO 02/19247 A2	03/02	WO.			

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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RE91-50			<b>IVQ-001</b>	<b>09/825534</b>
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT		
C.M.A. 5-1		<b>Shiffman, Saul et al.</b>		
		FILING DATE	GROUP	
		<b>April 2, 2001</b>	<b>2176</b>	

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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### Date Considered